



# Hampshire Safeguarding Adults Board

## Briefing on the Multi-Agency Safeguarding Adults Policy and Guidance



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# Virtual Meeting Guidelines

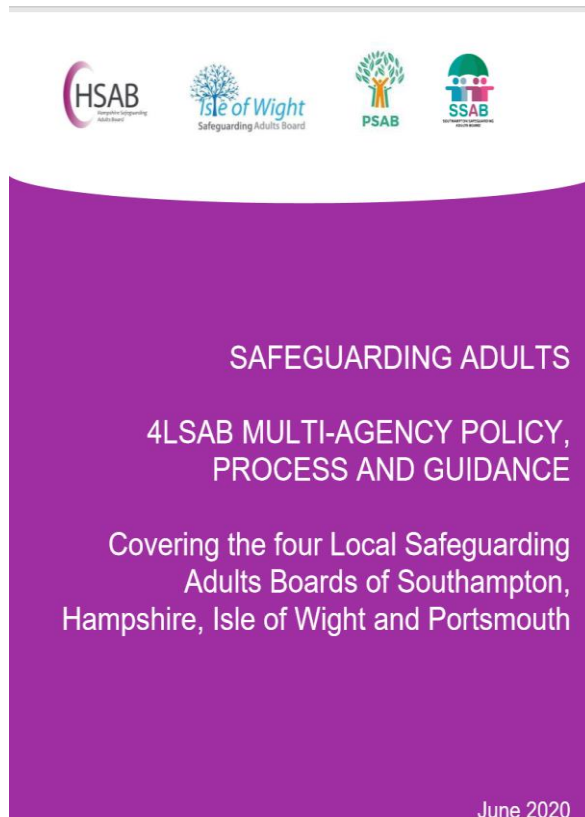
Welcome to the Multi-Agency Safeguarding Adults Policy Briefing

Please read the following:

- Turn your camera and video off unless you are speaking
- Please put any questions or comments in the chat box
- 10 minute break
- Q&A session following the presentation
- Presentations will be circulated after the event
- Please complete the post event survey
- Participants will receive a certificate of attendance

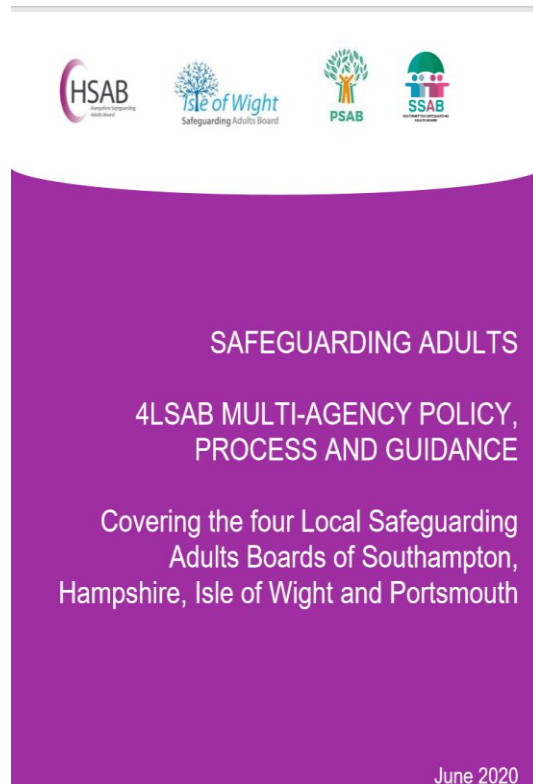
# Briefing Multi-Agency Safeguarding Adults Policy and Guidance

Programme		
13:30	Welcome and Introduction	Adrian Ridley, HSAB
13:35	Introduction to the Multi Agency Safeguarding Adults Policy and Guidance	Sue Lee, HSAB
14:45	Break	
14:55	Training and Resources	Adrian Ridley, HSAB
15:15	Questions and Answers	Sue Lee, HSAB
15:30	Close	



- Published July 2020 by the LSABs in Hampshire, IOW, Portsmouth and Southampton.
- Updated to reflect changes Care and Support statutory guidance (March 2020) and linked legislation.
- Overarching document providing a framework of standards to inform safeguarding practice for the system.
- Individual agencies/organisations may develop supplementary guidance to help operationalise this
- A web-based resource with links to other national and local guidance - easy updating of guidance or adding new resources.

# 4LSAB Multi-Agency Safeguarding Policy and Guidance





Working together to  
safeguard adults at risk

[What is Abuse or  
Neglect?](#)

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News

Hampshire Safeguarding Adults Board Learning and Development Programme 2021/22 now published

### Welcome

Welcome to the Hampshire Safeguarding Adults Board. Our website provides a wide range of useful information and resources for members of the public and professionals who may be concerned about adult abuse or neglect. You will find general information on keeping safe and how to report concerns. For professionals there is a dedicated area which provides access to a wide range of policy and practice guidance.

### Quick Links

[Coronavirus \(COVID-19\) >](#)  
[Keeping Safe >](#)  
[Report Concerns >](#)  
[Safeguarding Adults Policy and Guidance >](#)  
[Members Area >](#)  
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### Other types of concerns

[Welfare of a Child >](#)  
[Residential & Nursing Care >](#)  
[NHS Service >](#)  
[Domestic Abuse >](#)  
[Anti-Social Behaviour >](#)  
[Fire Safety >](#)  
[Hate Crime >](#)  
[Modern Slavery >](#)  
[Trading Standards >](#)

[HSAB Professionals Area: 4LSAB Multi-Agency  
Safeguarding Adults Policy and Guidance](#)

Old terminology	New terminology	Definition
Local authority safeguarding enquiry practitioner/Local authority safeguarding practitioner	Local Authority S42 Enquiry Coordinator	The nominated practitioner within the local authority who will lead and coordinate the S42 enquiry.
Lead enquiry practitioner	S42 Enquiry Officer	The nominated practitioner within the partner agency who will undertake the S42 enquiry where the local authority has 'caused this to be undertaken'.
Safeguarding planning meeting	S42 Enquiry Planning Meeting	A multi-agency discussion or meeting to plan out how the S42 will be undertaken which will result in agreement of a S42 Enquiry Plan.
Safeguarding Enquiry Plan	S42 Enquiry Plan	A plan which outlines any immediate risks to be addressed, objectives, key actions and the lead for each, how the adult will be involved, timescales, etc.
Safeguarding review meeting	S42 Enquiry Review Meeting	A meeting to review progress against the S42 enquiry plan and whether risks identified have been resolved. Any new risks should be discussed and actions agreed to mitigate/resolve these.
Safeguarding protection plan/safeguarding support plan	Safeguarding Plan	The overarching plan outlining all the actions required to safeguard the adult and to promote the adult's safety and wellbeing including actions to: protect from immediate harm, develop the adult's awareness and ability to recognise risks empowering them to make choices and to develop their capability to respond to these, build personal resilience, achieve resolution and recovery from the abuse or neglect.

# 4LSAB Multi-Agency Safeguarding Adults Policy 2020

Policy, principles  
and wrap around  
themes

Safeguarding  
process  
(workflow)

Standalone  
practice guidance

Roles and Responsibilities, Prevention and Early Intervention, Information Sharing, Risk Management, Self-Neglect, Hoarding, Family Approach, Modern Slavery, Honour Based Abuse, Allegations Management, Commissioned Services, Escalation.



# True or False?

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1. The local authority is the only agency with safeguarding responsibilities and duties.

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2. The Multi-Agency Safeguarding Adults Policy applies to all adults over 18 years

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3. 'Making Safeguarding Personal' is good practice but not a requirement.

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4. A Strengths Based approach is an integral part of adult safeguarding work.

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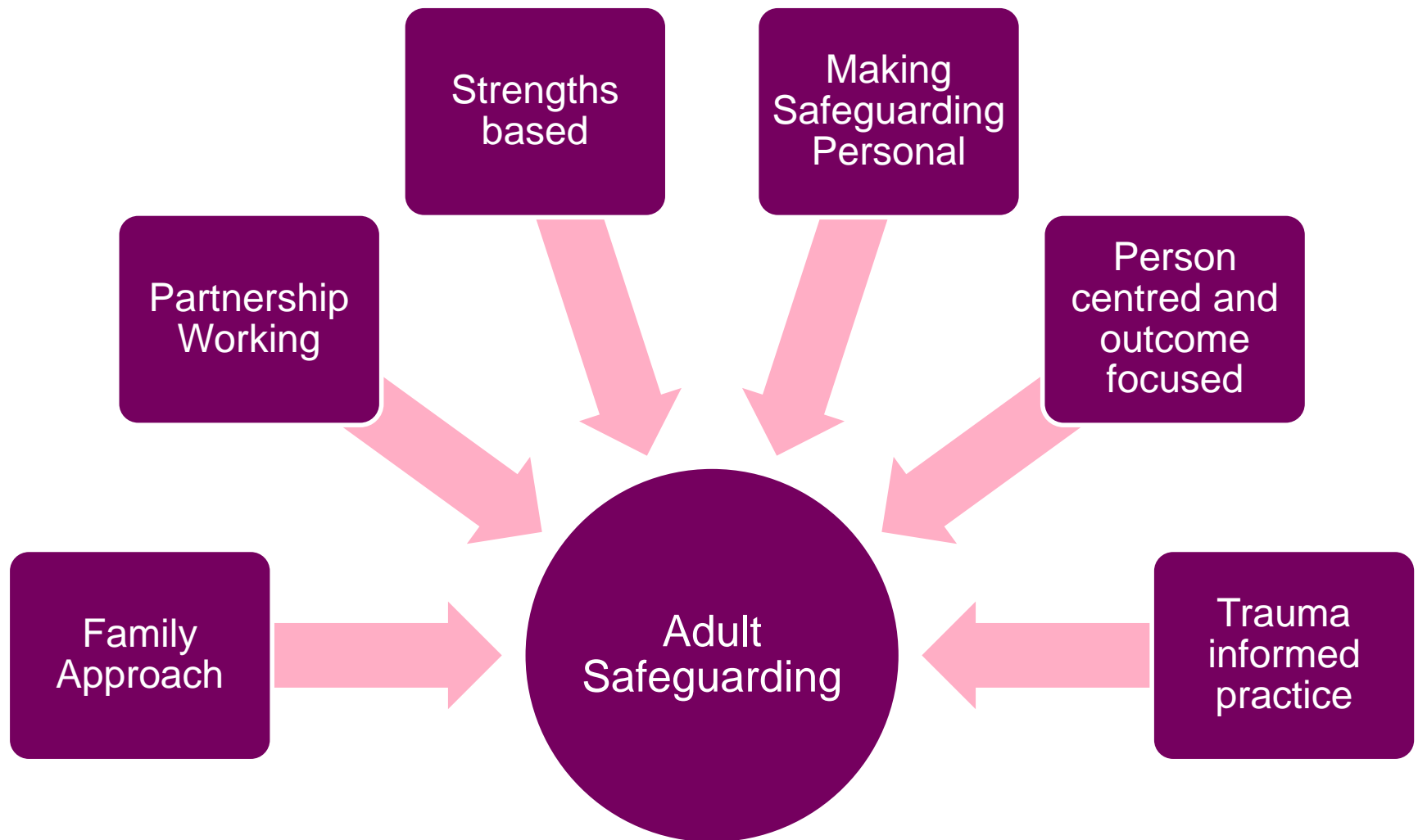
5. The Multi-Agency Safeguarding Adults Policy takes precedence over my organisation's safeguarding policy

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6. Partnership working only applies to the work carried out with other agencies.

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# Value based approaches linked to adult safeguarding



# Who do Safeguarding Adults duties apply to?

The local authority must make (or cause to be made) whatever enquiries it thinks necessary to enable it to decide whether any action should be taken in the adult's case if it has reasonable cause to suspect that an adult in its area:

1. Has needs for care and support (whether or not the local authority is meeting any of those care and support needs); and
2. Is experiencing, or is at risk of, abuse or neglect; and
3. As a result of those care and support needs is unable to protect themselves from either the risk of, or the experience of abuse and neglect.

Referred to as a Section 42 Enquiry – a multi-agency process designed to identify what actions are needed to resolve the risks the adult is experiencing and to develop a safeguarding plan.

Care and support needs “.....the mixture of practical, financial and emotional support for adults who need extra help to manage their lives and be independent e.g. people experiencing:

- **Learning disability**
- **Mental health needs**
- **Dementia**
- **Long-term illness/physical disability**
- **Frailty due to age, ill health, disability, cognitive impairment**
- **Misuse of alcohol or drugs**
- **Can include carers**
- Whether or not care and support have been assessed as eligible
- Young people receiving leaving/after care support from LA - close liaison with CSD needed to establish the best person to lead/support young people through the adult safeguarding process.

Any adult meeting these criteria are included in the scope of the policy regardless of:

- Mental capacity to make safety and safeguarding decisions
- Funding arrangements – direct public funding, personal/health budgets, self funding

[4LSAB Safeguarding Concerns Guidance 2020](#) Explains what to consider when deciding to report safeguarding concerns

# Discretionary S42 Enquiries

- Local authorities not required to carry out safeguarding enquiries for adults not meeting Care Act statutory criteria.
- Discretionary safeguarding enquiries possible where there are other factors, not related to care and support needs, which contribute to the abuse/risk of abuse and prevent the adult from taking actions to protect themselves e.g.:
- Vulnerable young adults leaving care, situations where their social circumstances are severely impacting on their health and wellbeing.
  - Carers aged 18+ who are experiencing, or are at risk of abuse from the person they care for but do not have care and support needs.
  - Adults at risk of abuse or neglect who do not have care and support needs
  - Adult has care and support needs and may have experienced abuse or neglect in the past, but is no longer experiencing or at risk of abuse or neglect

What are the 6  
Principles of Adult Safeguarding?

Respond in the chat bar

# Care Act 2014 - Safeguarding Principles

<b>Empowerment</b> - Presumption of person led decisions and informed consent	"I'm asked what I want as the outcomes from the safeguarding process and these directly inform what happens next"
<b>Prevention</b> - Better to take action before harm occurs	"I receive clear and simple information about what abuse is, how to recognise the signs and what I can do to seek help"
<b>Proportionality</b> - Proportionate and least intrusive response appropriate to risks	"I'm sure that the professionals will work in my interest, as I see them and will only get involved as much as needed"
<b>Protection</b> - Support and representation for those in greatest need	"I get help and support to report abuse and neglect. I get help so that I am able to take part in the safeguarding process to the extent to which I want"
<b>Partnerships</b> - Local solutions through services working with their communities	I know that staff treat any personal in confidence, only sharing what is helpful and necessary. I'm confident professionals will work together and with me to get the best result for me"
<b>Accountability</b> - Accountability and transparency in delivering safeguarding	"I understand the role of everyone involved in my life and so do they"

# Wrap around themes?





# Capacity, consent and control

- A right to make apparently unwise decisions which have risks and to refuse support should be respected.
- Information and advice about how to minimise risks to be given to the person who (with capacity) has refused to accept support
- Assessment of mental capacity should include consideration of their executive function e.g.
  - “Do I understand the limits of my own ability and the risks and safety implications of the choice or decision being made”?
- Information about how to access reassessment in the future should they change their minds.
- Decisions (either by the adult or the agency) are kept under constant review

# Making Safeguarding Personal

- Safeguarding done with, not to people.
- Outcome based rather than procedurally driven approach.
- Safeguarding response defined by the needs, wishes and outcomes of the adult – a focus on the outcomes the person wants.
- Premise that the person is best placed to judge their wellbeing.
- Involving the adult as fully as they are able or wish.
- A right to make apparently unwise lifestyle choices and to refuse support.
- Responses need to be balanced with personal rights, freedoms and be the least intrusive.
- Recognising people often want more than one outcome, which sometimes aren't easily reconcilable.
- People generally want to feel safe but also to maintain relationships
- Focus on achieving meaningful improvement to someone's circumstances rather than on 'investigation' and 'conclusion'
- Personalised information and advice so the person can better understand their situation and any risks – and to consider and weigh up options to manage these.
- Using a mix of responses to enable people to achieve safety, resolution, recovery and access to justice.

# Strengths based approach

- Collaborative process between the person being supported by services and those supporting them.
- Working together to determine an outcome that draws on the adult's strengths and assets.
- Protects and supports the person's independence, resilience, ability to make choices and maximise wellbeing.
- Provides opportunities for the individual to be a co-producer of their support rather than solely a consumer of those services.
- Strengths based working underpins a number of core values within social care:
  - Promoting wellbeing
  - Prevention
  - Restorative
  - Trauma Informed
  - Whole family

# Family Approach

- Secures better outcomes for children/ adults with care and support needs by co-ordinating the support they receive.
- Identifies families in the greatest need - support provided at the right time before crisis point is reached.
- A focus on the whole family - sharing any wellbeing or safety concerns about a child or an adult with care and support needs within a family setting
- Addresses the range of needs within a family through holistic assessment and coordination,.
- Understanding of wider family issues affecting the overall wellbeing of the family and individual being worked with.
- Deals with multiple, entrenched and serious issues having a serious impact e.g. 'trigger trio' of domestic abuse, mental health and substance misuse.
- How are these needs impacting on the 'principal client' and how might meeting these needs benefit them?
- Can engage families, either when they haven't engaged and/or they haven't benefited from traditional service approaches.

# Successful partnership working

Partnership with the adult, family and wider network and fellow professionals.

Effective partnership working achieves better outcomes for the adult and is enabled by:

- Timely sharing of vital information.
- Avoidance of a 'refer on' culture.
- Whole family approach.
- Attention to developing or strengthening a support network.
- Clarity re respective roles and responsibilities of partner agencies.
- A solution focused, relationship based approach.
- Co-ordination and management of case work and interface with other processes.
- Regularly reviewing and communicating progress.
- Ability to provide professional challenge to resolve issues and where necessary, escalation.

# Defensible decision making

A defensible decision is one where:

- All reasonable steps taken to avoid harm.
- Use of reliable assessment methods.
- Information collected is thoroughly evaluated.
- Decisions are recorded and carried out.
- Policies and procedures have been followed.
- Practitioners and their managers adopt a proactive, investigative approach.

Decisions are defensible if they address the points above, and:

- Are a contemporaneous record maintained in a legible and approved system and format.
- Specify the rationale behind the decision in relation to the circumstances.
- Include references to relevant legislation and guidance.
- Are retained with other records about the individual (or organisation).
- Are 'signed' and dated

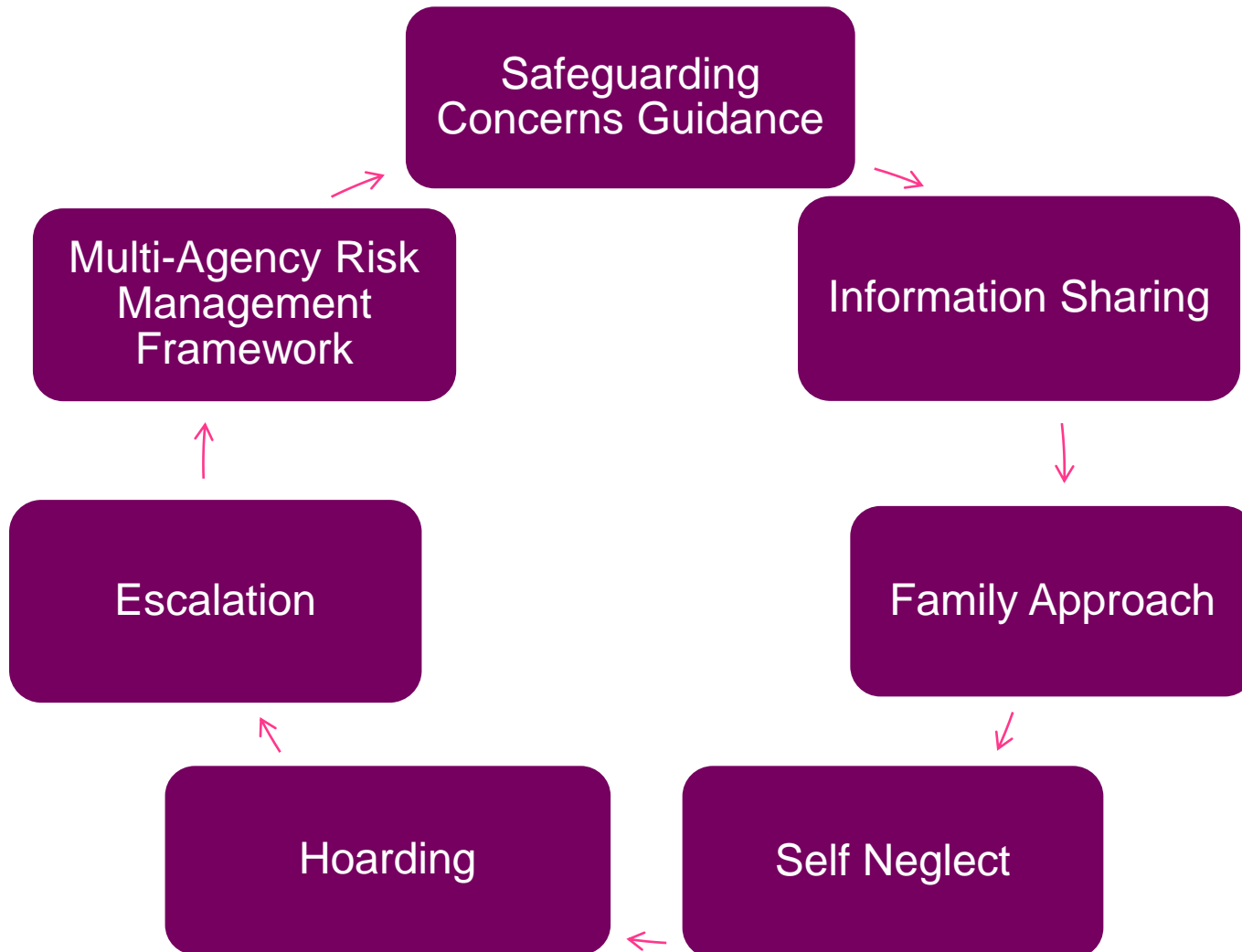
# Reflection your own practice

Spend a moment reflecting on your own safeguarding practice.

In the chat bar highlight

- A key challenge
- Something that 'enabled' or supported you
- An example of how 4LSAB Safeguarding Adults Policy might help your future practice

# Supplementary guidance available





# 4LSAB Safeguarding Concerns Framework

Multi-Agency guidance to support decision making around the reporting of adult safeguarding concerns.

Sits alongside the 4LSAB Multi-Agency Adult Safeguarding Policy and Guidance 2020.

Draws on the Care Act 2014 and accompanying statutory guidance and connects to the LGA and ADASS framework 'Making decisions on the duty to carry out safeguarding enquiries'

Framework promotes:

- Personalised approaches which balance well-being with safety and prevention
- Engagement with the adult about how best to respond to their safeguarding situations in a way enables involvement, choice, control
- An adult's rights, ensuring that those who lack mental capacity (as well as those who have capacity) are empowered and included within safeguarding support
- Empowering people so that they are partners in understanding and managing risk in their own lives
- Emphasis on the need for transparency and openness in managing conflicting outcomes (both of the adult and between the adult and professional organisations)
- Role of advocacy in all of the above.

4LSAB Safeguarding Concerns Framework – based on the national guidance endorsed by ADASS and the LGA in June 2020.  
Builds on work undertaken in Southampton and IOW.

3 core components:

Overarching  
Concerns  
Framework

Standalone  
Protocols  
Pressure sores and  
falls

Case studies

One minute Guides Protocols covering  
Medication errors, Falls, Pressure Sores, Social  
Care Provider Concerns  
More can be added over time

# HSAB Training Programme

## Topics:

- Safeguarding Policy
- Concerns Guidance
- MARM
- Self Neglect
- Financial Abuse
- Family Approach
- Domestic Abuse
- Homelessness
- Transitional safeguarding
- Podcasts

## More information and booking:

[HSAB Learning Zone](#)

All events are multi-agency and free of charge



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Citizens Advice - Summary of winter projects

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[Hate Crime >](#)  
[Modern Slavery >](#)  
[Trading Standards >](#)



## In this section

### Professionals Area

Hampshire, IOW,  
Portsmouth and  
Southampton 4LSAB  
Multi-Agency  
Safeguarding Adults  
Policy and Guidance

Practice Guidance  
and Resources

Safeguarding Policy  
and Practice  
Bulletins

Glossary

Other useful  
websites

[HSAB Learning Zone](#)

## HSAB Learning Zone

Here you can browse and book courses and access a range of resources from recent training events.



[Browse and Book  
Courses](#)



[Access Training Materials](#)



[E-Learning Zone and  
Podcasts](#)

### Hampshire Safeguarding Adults Board Learning and Development Programme 2021/22

Training Event	Multi-Agency Safeguarding Adults Policy Briefing	Safeguarding Concerns Guidance	Multi-Agency Risk Management Framework (MARM)	Homelessness and Adult Safeguarding	Domestic Abuse and Adult Safeguarding
<b>Dates</b>	2 February 2021 24 February 2021 10 March 2021	8 April 2021 10 May 2021 30 June 2021 9 September 2021	3 February 2021 7 September 2021	Date TBC	13 April 2021 and 14 April 2021
<b>Duration</b>	2 hours	3 hours	3 hours	3 hours	2 half days (9:30-12:30)
<b>Presenter</b>	HSAB	Jane Hughes, Making Connections (on behalf of HSAB and PSAB)	HSAB and partner organisations	Professor Michael Preston-Shoot	Richard Beardsall, Making Connections
<b>Purpose</b>	Briefing to introduce the updated 4LSAB Multi-Agency Safeguarding Adults and give an overview of the Safeguarding Concerns Guidance 2020.	Workshop to introduce the Safeguarding Concerns Guidance 2020.	Workshop to raise awareness of the 4LSAB MARM Framework and its application in practice.	Workshop to raise awareness of homelessness and adult safeguarding with an opportunity to explore best practice approaches.	Workshop to raise awareness of adult safeguarding and domestic abuse to consider effective approaches to people with care and support needs experiencing domestic abuse.
<b>Target Audience</b>	All staff and managers	All staff and managers	Responders, decision makers, safeguarding specialists and managers	All staff and managers	All staff and managers
<b>Venue</b>	MS Teams	Zoom	MS Teams	MS Teams	Zoom

Cont'd on next page

### Hampshire Safeguarding Adults Board Learning and Development Programme 2021 cont'd

Training Event	Safeguarding in Transition	Family Approach	Financial Abuse	Safeguarding Adults Leads (SAL) Network Event	Self-Neglect
<b>Dates</b>	29 April 2021 8 December 2021	19 May 2021 10 November 2021 23 February 2022	9 <sup>th</sup> June 2021 19 <sup>th</sup> January 2022	Date TBC	Date TBC
<b>Duration</b>	3 hours	3 hours	3 hours	3 hours	3 hours
<b>Presenter</b>	Steve Baguley NWG (on behalf of HSAB and PSAB)	HSAB and HSCP	HSAB and partner organisations	HSAB	Suzy Braye
<b>Purpose</b>	Workshop to raise awareness of safeguarding in transition.	Workshop to introduce the HSAB and HSCP Family Approach Protocol and to understand its application in practice.	Workshop to raise awareness of different types of financial abuse, including cybercrime, fraud, and scams.	Annual event providing an update on national and local developments and a spotlight on self-neglect and hoarding.	Workshop to raise awareness of self-neglect exploring research evidence and learning from Safeguarding Adult Reviews that can support positive outcomes when working with people who self-neglect.
<b>Target Audience</b>	All staff and managers	All staff and managers	All staff and managers	Operational Safeguarding Leads (all sectors) and SAL Network	All staff and managers
<b>Venue</b>	MS Teams	MS Teams	MS Teams	MS Teams	MS Teams

The Hampshire Safeguarding Adults Board (HSAB) training programme is fully funded by the HSAB. It can be accessed by all partner agencies. Places must be booked in advance via Eventbrite and only one place can be booked per person. You must let us know if this happens. All cancellations or changes to bookings must be sent in writing to [HSAB@hants.gov.uk](mailto:HSAB@hants.gov.uk). HSAB will circulate details of all events in advance and will provide a link to Eventbrite to enable bookings to be made online. Failure to attend without providing an apology may result in you not being able to book on future courses. For more information, please contact [HSAB@hants.gov.uk](mailto:HSAB@hants.gov.uk).

**Find out more**

[www.hampshiresab.org.uk](http://www.hampshiresab.org.uk)



**Any comments or  
questions?**

